

# SHEEP SLAUGHTER AUDIT FORM

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Auditor Name:	Date:
Auditing Company:	Stunning Method Audited:
Plant Location:	Slaughter Rate (head/hour):
Plant Contact:	Weather Conditions:

	Points Earned	Points Available
<b>Criterion 1: Commitment to Animal Welfare - Plant policies and training</b>		
Plant has a documented training program for its employees or uses an outside training program on the principles good animal handling.		5
Plant has a protocol that is written or widely understood for handling non-ambulatory animals.		5
Plant performs internal audits at least weekly and implements corrective actions as needed.		5
An emergency management plan for livestock is in place.		5
The emergency management plan includes instructions on when/how water will be provided to animals in drive alleys/unloading docks and how feed will be provided if animals are held longer than 24 hours.		5
Employees inspect the facility weekly and document for repair any damage or sharp protrusions that may injure animals.		5
Plant has a protocol for stunning equipment maintenance.		5
Employees are trained in handling non-ambulatory animals.		5
Special training is provided to stunner operators to ensure proper equipment use and stunning efficacy.		5
A written protocol on how to handle a sensible animal on the bleed rail is in place and employees are trained on the protocol.		5
<b>Total for Criterion 1:</b>		50

	Points Earned	Points Available
<b>Criterion 2: Facility and Handling Observations</b>		
Plant has non-slip flooring.		10
In the crowd pen or bud box, animals flow with stress and discomfort minimized.		10
Non-electrical devices are the primary tool(s) used to move livestock.		10
Holding pens are not overcrowded.		10
Amperage/voltage monitored regularly. <i>(If electrical stunning is not used, adjust the total number of points available for the audit accordingly.)</i>		10
<b>Total for Criterion 2:</b>		50

<b>Critical Welfare Indicator</b>	Points Earned	Points Available
<b>Criterion 3: Access to Water</b>		
Animals in all holding pens have access to clean drinking water.		50

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Key Welfare Indicator	Result
<b>Criterion 4: Falls</b>	
Number of animals that fell	
Number of animals audited	
Percentage of falls	
<b>Total for Criterion 4:</b>	

	Points Available			
	40	30	20	0
100 or more animals audited	0%	0.1-1.0%	1.1-3.0%	>3.0%
small plant adjustment: less than 100 animals audited	0 animals	1 animal	2 animals	≥3 animals
	Excellent	Acceptable	Intermediate	Not Acceptable

Critical Welfare Indicator	Result
<b>Criterion 5: Electric Prod Use</b>	
Number of animals electric prod used on	
Number of animals audited	
Percentage of animals electric prodded	
<b>Total for Criterion 5:</b>	

	Points Available			
	50	45	40	0
100 or more animals audited	0%	0.1-2.5%	2.6-5.0%	>5.0%
small plant adjustment: less than 100 animals audited	0 animals	n/a	1 animal	≥2 animals
	Excellent	Good	Acceptable	Not Acceptable

Critical Welfare Indicator	Result
<b>Criterion 6: Effective Stunning</b>	
<b>Captive Bolt Stunning</b>	
Number of animals effectively stunned	
Number of animals audited	
Percentage of animals stunned correctly with one stun	
<b>Total for Criterion 7:</b>	

	Points Available		
	50	40	0
40 or more animals audited	100%	99.9-96.0%	<96.0%
small plant adjustment: less than 40 animals audited	0 animals misstunned	1 animal misstunned if second stun applied correctly	>1 animal misstunned
	Excellent	Acceptable	Not Acceptable

Audit only one stunning method. If more than one stunning method is used, audit the method most frequently used.  
 Note: Effective stunning is not audited in religious slaughter operations that do not use a stunning method prior to slaughter. Adjust the total number of points available for the audit accordingly.

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Electrical Stunning	Result
Number of animals audited	
Number of animals with accurate placement	
Percentage of animal with accurate placement	
Points for accurate placement	
Number of animals effectively stunned	
Percentage of animals effectively stunned	
Points for effective stunning	
<b>Total for Criterion 7:</b>	

	Points Available		
	20	15	0
<i>100 or more animals audited</i>	100%	99%	<99.0%
<i>small plant adjustment: less than 100 animals audited</i>	0 misplacements	1 misplacement	≥2 misplacements
	30	25	0
<i>100 or more animals audited</i>	100%	99.9-98.0%	<98.0%
<i>small plant adjustment: less than 100 animals audited</i>	0 animals misstunned	1 animal misstunned	≥2 animals misstunned
	<b>Excellent</b>	<b>Acceptable</b>	<b>Not Acceptable</b>

<b>Critical Welfare Indicator</b> Criterion 7: Bleed Rail Insensibility	Result
Number of insensible animals	
Number of animals audited	
Percentage of insensible animals on the bleed rail	
<b>Total for Criterion 8:</b>	

Points Available	
50	0
100%	<100%
<b>Acceptable</b>	<b>Not Acceptable</b>

<b>Critical Welfare Indicator</b> Criterion 8: Euthanasia	Points Earned
Were animals observed during the audit that required euthanasia promptly euthanized according to plant policy?	

*Note: if euthanasia is not observed, adjust the total number of points available for the audit accordingly.*

Points Available		
50	0	0/0
Yes	No	NA
<b>Acceptable</b>	<b>Not Acceptable</b>	<b>Not Applicable</b>

<b>Critical Welfare Indicator</b> Criterion 9: Willful Acts of Abuse/Egregious Acts	Result
Were any willful acts of abuse or egregious acts observed?	

*not scored*

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FINAL SCORING	Points Earned	Points Available	Pass or Fail
Criterion 1		50	n/a
Criterion 2		50	n/a
Criterion 3 - CWI		50	
Criterion 4 - KWI		40	
Criterion 5 - CWI		50	
Criterion 6 - CWI		50	
Criterion 7 - CWI		50	
Criterion 8 - CWI		50 or 0	
Criterion 9 - CWI		n/a	
<b>Total:</b>		390 or 340	n/a

Audit Percentage:	
<b>Audit Result:</b> (pass/fail)	

There are three ways to fail the audit: 1) Fail any of the critical or key welfare indicators (CWIs or KWIs) at a not acceptable level, 2) Fail two of the key welfare indicators at an intermediate level, or 3) have a total score of less than 90% (less than 84% for religious slaughter without stunning).

Notes: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
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**Auditor Signature:** \_\_\_\_\_  
**Date:** \_\_\_\_\_